Program Name: Harry Shwachman Cystic Fibrosis Clinical Investigator Award

Brief Program Overview/Description: The Harry Shwachman CF Clinical Investigator Award provides the opportunity for promising, clinically trained physicians with a commitment to research to develop into independent biomedical researchers who have active involvement in CF-related areas. The award helps facilitate the transition from fellowship training to an academic career as an independent investigator. The award enables candidates to undertake three years of research, tailored to the individual’s interests and needs, with a mentor(s) who is/are competent to provide appropriate research guidance and supervision.

Funding Amount: This award provides support for three years at a level of up to **$130,000 in direct costs** per year (indirect costs are not allowable). Funds may be used to support up to $100,000 in personnel costs, including awardee or other research staff working on the proposed project, and up to $30,000 per year may be requested for supplies, travel, minor equipment, etc. Support is based on a full-time, 12-month appointment.

Eligibility:
- Candidates must be U.S. citizens or U.S. permanent residents (must have obtained permanent residency prior to the time of application)
- Junior faculty and senior fellows are eligible to apply
- In general, a successful candidate has: (i) previous relevant research training; (ii) expertise in a related research technique; and (iii) a minimum of one (1) manuscript or abstract accepted for publication
- Supplementation of salary from other sources is allowable
- A minimum of 70% of the applicant’s time must be devoted to research

Key Dates:
- Published: December 13, 2019
- LOI Submission Deadline: NA
- LOI Applicant Notified: NA
- Full Application Deadline: February 14, 2020
- Committee Review Date: April 2020
- Notification to Applicants: Late-May 2020
- Earliest Project Start Date: July 1, 2020

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I. About the Cystic Fibrosis Foundation

The mission of the Cystic Fibrosis Foundation (CFF) is to cure cystic fibrosis (CF) and to provide all people with the disease the opportunity to lead full, productive lives by funding research and drug development, promoting individualized treatment, and ensuring access to high-quality, specialized care.

To achieve this mission, various types of grants and awards are offered to support meritorious research in CF.

CF Foundation Resources

The Cystic Fibrosis Foundation supports the development of a number of helpful tools and resources to assist the research community in accelerating the progress toward new scientific knowledge of and new therapies for cystic fibrosis. For more information on Tools and Resources for the CFF research community, please visit: https://www.cff.org/Research/Researcher-Resources/Tools-and-Resources/

CFF Patient Registry Data

The CF Foundation Patient Registry collects information on the health status of people with cystic fibrosis who receive care in CF Foundation-accredited care centers and agree to participate in the Registry. This information is used to create CF care guidelines, assist care teams providing care to individuals with CF, and guide quality improvement initiatives at care centers. Researchers also use the Patient Registry to study CF treatments and outcomes and to design CF clinical trials.

The Cystic Fibrosis Foundation Patient Registry is an invaluable tool for researchers who are interested in conducting studies about people with CF in the United States. About 50,000 individuals have been followed in the Registry, and many have been included for over 20 years. In addition, we recently linked the CF Foundation Patient Registry with the Pediatric Health Information System (PHIS) database. Investigators at PHIS sites can request to use these linked data. Instructions on how to request CFFPR data for your research project is included in the application instructions below.

CFF Biorepository

Cystic fibrosis biological samples are available to qualified researchers to help develop promising new studies that will support CF research and aid in drug development and drug discovery. Biorepository samples come in many different forms: blood, urine, stool, tissue, and other material. These samples are stored under appropriate conditions that ensure they are preserved for future analysis.

Since 2006, the Cystic Fibrosis Foundation has collected and stored samples from a variety of clinical trials. The CF Foundation has developed a database that combines information from these samples with data from CF clinical trials and the CF Foundation Patient Registry to create a unique and specific sample profile. Instructions on how to request CFF Biorepository samples for your research project is included in the application instructions below.

Community Voice

The CF Foundation is committed to ensuring that the CF community’s voice is heard in all of our activities. In December 2014, the CF Foundation created Community Voice, formerly known as the CF Adult and Family Advisors group, to serve as a consultative body and partner to the Foundation on various activities. Research Voice, a sub-committee within Community Voice, consists of people with CF and their family members who undergo special training on the basics of clinical research to provide insight and feedback to the research community.
Opportunities to partner with the community occur throughout the stages of a research project. Recently, several CFF funded investigator-initiated clinical research projects have utilized community engagement through Community Voice to successfully execute and complete their projects. The CF Foundation strongly encourages you to engage people with cystic fibrosis throughout the stages of clinical research. Based on your goals and objectives, the CF Foundation will work with you to determine which mechanisms are most appropriate. To learn more about how community insights can help you optimize your research project, email CommunityVoice@cff.org.

National Resource Centers

Specialized procedures are often needed to measure the outcomes of cystic fibrosis clinical trials. These include both laboratory-based measurements, such as cytology and inflammatory markers, and interpretive outcomes, such as computed tomography and nasal potential difference. For more information about National Resource Centers, please visit: https://www.cff.org/Research/Researcher-Resources/Therapeutics-Development-Network/Working-with-the-TDN/National-Resource-Centers/

II. Program and Award Overview

Physician Training and Career Development Programs Overview
CF Foundation’s Physician Training & Career Development Programs (PTPs) aim to attract, develop, and retain exceptional clinicians and investigators into cystic fibrosis to address the evolving needs of the CF community. The PTPs ensure that there is physician workforce that meets the healthcare and research needs of the CF Community by requesting applications for the 1st/2nd Year Clinical Fellowship Award, the 3rd/4th Year Clinical Fellowship Award, the 5th Year Clinical Fellowship Award, the Harry Shwachman Clinical Investigator Award (HAS), and the LeRoy Matthews Physician-Scientist Award (LMA), annually.

<table>
<thead>
<tr>
<th>PTP Award</th>
<th>Applications Received</th>
<th>Applications Funded</th>
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<tbody>
<tr>
<td>1st/2nd Year Clinical Fellowship Award</td>
<td>30</td>
<td>27</td>
</tr>
<tr>
<td>3rd/4th Year Clinical Fellowship Award</td>
<td>12</td>
<td>7</td>
</tr>
<tr>
<td>Harry Shwachman Clinical Investigator Award</td>
<td>7</td>
<td>5</td>
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<tr>
<td>LeRoy Matthews Physician-Scientist Award</td>
<td>3</td>
<td>3</td>
</tr>
</tbody>
</table>

† Leroy Matthew Physician-Scientist applications funded as Clinical Fellowship Awards.

Harry Shwachman CF Clinical Investigator Award Overview
The Harry Shwachman CF Clinical Investigator Award provides the opportunity for promising, clinically trained physicians with a commitment to research to develop into independent biomedical researchers who have active involvement in CF-related areas. The award helps facilitate the transition from fellowship training to an academic career as an independent investigator.

The award enables candidates to undertake three years of research, tailored to the individual’s interests and needs, with a mentor(s) who is/are competent to provide appropriate research guidance and supervision.

III. Funding Amount
Salary and research expenses not to exceed $130,000 in direct costs per year (indirect costs are not allowable), for up to three (3) years. This includes up to $100,000 for stipend (salary and benefits) and up to $30,000 for allowable research-related expenses per year.
Allowable costs include:

- Salary and fringe benefits, not to exceed $100,000 total
- Consultant Costs
- Patient Research Costs
- Consumable research supplies
- Tuition costs up to $3,000 per year may be requested
- CF-relevant travel costs of up to $1,500 per person annually
- Minor equipment purchases under $5,000

All other costs are non-allowable without prior written approval from the CFF Grants & Contracts Office.

Student Loan Repayment Program
Physician Training Program Award recipients are eligible to apply for the CF Foundation’s Student Loan Repayment Program. Please contact the CFF Grants & Contracts Office for more information on this program.

IV. Eligibility

- Candidates must be U.S. citizens or U.S. permanent residents (must have obtained permanent residency prior to the time of application)
- Junior faculty and senior fellows are eligible to apply. Applicants must hold faculty level positions. Fellows may submit applications; however, funding will only be considered if they will hold a faculty-level appointment at the time of the award.
- In general, a successful candidate has: (i) previous relevant research training; (ii) expertise in a related research technique; and (iii) a minimum of one (1) manuscript or abstract accepted for publication
- Supplementation of salary from other sources is allowable
- A minimum of 70% of the applicant’s time must be devoted to research

V. Mentorship Requirements

- Each fellow must have a Mentor who will be responsible for the applicant's training and research activities. Applicants are encouraged to have a either a CF Clinician or CF Researcher as part of their mentoring team.

VI. Goals of Research Currently of Interest to CFF/Priority Areas
Proposed research must be relevant to the CFF’s mission and to the health and well-being of CF patients. Applicants are encouraged, but not required, to address an emerging area of potential interest stated below. All applications are reviewed and scored not only on scientific merit but also on relevance to the CFF’s mission.

Research topics of high priority to the CF Foundation:

- Direct and indirect influences of CFTR modulation on the airway milieu, including resident pathogens, inflammation, mucin structure (tethered and secreted), airway surface liquid (ASL), and mucociliary clearance
- Understanding defects associated with CFTR mutations other than F508del (especially nonsense and other mutations not currently treated by CFTR modulators) and approaches for restoring CFTR function
- Biological mechanisms involved in lung allograft dysfunction/rejection and transplant immunology
- Improved understanding of acquisition, detection, pathogenesis, host-pathogen interactions, and treatment approaches for difficult to treat CF infections (i.e. NTM, MDR Pseudomonas, MRSA, Aspergillus, Achromobacter, Burkholderia, Stenotrophomonas)
- Approaches to understand and treat extra-pulmonary manifestations of CF including (but not limited to):
- CF related GI issues and the impact of nutritional deficiencies
- Effects of endocrine system dysfunction in CF, including Cystic Fibrosis Related Diabetes (CFRD) and CF bone disease
- Mental health

Funding priority will be placed on those projects that will lead to a better understanding of disease mechanisms, pathophysiology, and prevention, and treatment strategies.

VII. Review and Award

CFF’s Physician Training Programs (PTP) Committee will evaluate all applications. The PTP Committee recommendations are reviewed by the Board of Trustees. Funding of awards is based on the priority score awarded each application and the recommendations of the PTP. Relevance of the proposed study to issues in CF is also considered in determining awards. All research awards are subject to observance of the regulations and policies of CFF related to that category of research support and are contingent upon the availability of CFF funds.

In addition to scientific merit and relevance to the CFF mission, applications will be evaluated in the following areas:

<table>
<thead>
<tr>
<th>Applicant</th>
<th>Mentor(s)</th>
<th>Environment</th>
<th>Training &amp; Research Development Plan</th>
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</thead>
<tbody>
<tr>
<td>• Commitment to, or intent to pursue, a research career related to CF</td>
<td>• Established expertise in CF-related research or related research areas of high priority to CFF</td>
<td>• Quality (breadth and depth) of faculty in basic and/or clinical sciences related to CF at applicant institution</td>
<td>• Feasibility and impact of the proposed plan</td>
</tr>
<tr>
<td>• Potential to develop an independent research career related to CF</td>
<td>• Commitment of the Mentor for the duration of the applicant's development and research plan</td>
<td>• Quality of institution's CF research and training programs</td>
<td>• Didactic course work required by the applicant (if indicated)</td>
</tr>
<tr>
<td>• Research accomplishments</td>
<td>• Track record of the Mentor in training individuals for biomedical research</td>
<td>• Demonstrated interaction between basic and clinical investigators</td>
<td>• Scientific and technical merit of the proposed research</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Institution's commitment and ability to provide opportunities and facilities necessary for research career development related to CF</td>
<td>• Ability of the proposed plan to develop research skill of the applicant needed for independence</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>• Relationship to applicant’s career development</td>
</tr>
</tbody>
</table>

_CFF may withdraw applications receiving low scores, and/or those deemed nonresponsive to the program announcement before the review meeting. In these cases, CFF will notify applicants if their application has been withdrawn without discussion. Applicants must address reviewer critiques in order to resubmit their applications during future application cycles._

_Chip reasons for assigning low priority scores to applications during review include the following:_
- Insufficient information or documentation
• Inadequate statement of hypothesis, experimental design or methods
• Failure of the applicant to demonstrate awareness of and plans for coping with key problems and pitfalls associated with the proposed research
• Insufficient or improper controls, if applicable.
• Failure of the applicant to describe potential relevance of the proposed study to issues in CF
• Failure of the applicant to document the necessary skills or training to accomplish the goals of the proposal
• Failure of the applicant to meet all criteria described in the policy statement for a given award
• Failure of the applicant to describe career goals as they may be related to a long-term commitment to CF research

VIII. Submission Information
Application Deadline: Friday, February 14, 2020 at 5:00 PM (Eastern)

Submit online through proposalCENTRAL: https://proposalcentral.com/
(Refer to Section X of these guidelines for specific submission instructions)

An application will be considered incomplete if it fails to comply with the instructions, or if the submitted material is insufficient to permit adequate review. CFF reviews applications electronically, and only documents submitted online at proposalCENTRAL will be reviewed. Late applications will not be accepted, and the deadline will not be waived.

General Timeline:
Application Deadline _____________________________ February 14, 2020
Review _________________________________________ May 2020
Notification to Applicants __________________________ Late-May 2020
Earliest Start Date for Awarded Projects ______________ July 1, 2020

IX. Letter of Intent Guidelines
Not applicable to this RFA

X. Full Application Guidelines
Applications must be submitted online at proposalCENTRAL: https://proposalcentral.com/

Documents should be typed using:
• Font: Times New Roman 12 or Arial 11
• Margins: No less than a half inch on each side

Note: When all the documents have been uploaded to proposalCENTRAL, the system will compile them into a single PDF file in the correct sequence as shown in Section XIII. ELECTRONIC APPLICATION CHECKLIST.

Log-in at proposalCENTRAL: https://proposalcentral.com/

First-time applicants must register to create a username and password for proposalCENTRAL and will need to complete a profile online before applying. If you are registered and cannot remember your password, click on the “Forgot Your Username/Password?” link below the “Application Login” fields.

Award opportunities, including this Request for Applications (RFA), are listed on the opening screen, but you must be logged in first to see them.
Select the gray tab labeled “Grant Opportunities” found in the upper right-hand side of the page.

Click on the light blue “Filter by Grant Maker” button to the left and scroll down to locate Cystic Fibrosis Foundation in the list.

Locate the listing for the “Harry Shwachman Cystic Fibrosis Clinical Investigator Award” program. Click on the “Apply Now” button in the column on the far right to open the application form.

Applicants may stop at any point but must click the “Save” button before exiting in order to save their work. When logging in to continue, click on the blue tab, “Proposals”, and then the “Edit” button.

The following sections are listed in the navigation menu to the left of the application screen. Click on each section and follow the directions.

1. Title Page: Enter the title of your project and indicate whether this is a resubmission of an application that was previously reviewed (include the date of previous submission in the corresponding field). Also, please indicate if you will be requesting access to the Patient Registry Data or Biorepository Clinical Specimens as outlined in Sections 10 I. and J. respectively. Click “Save”.

2. Download Templates & Instructions: Download the available templates applicable to the project, fill them out and upload them when completed in Section #10. Templates available include:
   - Applicant Instructions for Letters of Reference (for reference only)
   - Biographical Sketches for Key Personnel
   - Mentor’s Results of Past and Current CFF/CFFT Support
   - Other Support
   - Facilities Available
   - Budget Detail
   - Budget Justification
   - Research Plan
   - Data Safety Monitoring Plan (if applicable)
   - Training Plan
   - Critique Response (if resubmission)
   - Names and Addresses of References

3. Enable Other User to Access this Proposal: Complete this section online if you wish to designate access to another individual, such as an assistant who has registered on proposalCENTRAL. Enter the email address of the individual and in the “Permissions” column, use the pulldown menu to select the type of access you wish to give. Please note that only delegates who are granted “Administrator” rights can submit applications on behalf of the applicant. Click on “Accept Changes”.

4. Applicant/PI: If a profile was completed upon registration, the fields in this section will already be populated with the information entered in your Professional Profile. If you need to make any changes, click the “Edit Professional Profile” button and follow the instructions. If a profile was not completed, enter the required information and click “Save”.

5. Institution & Contacts: If a profile was completed upon registration, the Principal Investigator’s (PI) institution will be preloaded as Lead Institution. If a profile was not completed, enter the required information and click “Save”. Be sure to use the full legal name of the institution.
6. **Letters of Support/Reference:** Letters of Support and Reference are weighted heavily in the review. At least four (4) Letters of Support/Reference are required as follows:

- **The Mentor(s) of the clinical fellowship training** – A Letter of Support from the fellowship Mentor(s) should clearly identify the merits of the applicant and must include a description of CF-specific and other training the applicant received while working under the Mentor’s direction.
- **The Research Mentor(s) for this award** – The letter should clearly describe the institution’s commitment to the professional growth of the applicant.
- **The CF Center Director(s) at the applicant (or nearby) institution**, if s/he is not the Mentor.
- **The Chair of the applicant’s Department at the applicant institution** – The letter should clearly describe the institution’s commitment to the professional growth of the applicant.

*Note: if a letter from any one referee listed above fulfills two of the required roles, additional letters from references who can speak to the applicant’s scientific and clinical abilities, interests, and potential to become an independent investigator must be provided to meet the minimum requirement of four (4) letters.*

**Invite Referees to Submit Letters of Reference through proposalCENTRAL**

Letters of Reference must be submitted electronically ONLY. To “invite” Referees, go to the “Letters of Reference” section of the online application, and enter the email addresses of the individuals you have asked to submit letters. This will generate automated emails (with instructions) sent to each Referee through the proposalCENTRAL website. The applicant should inform Referees to submit the letters at least one (1) week prior to the application deadline. This helps to ensure that the letters have been uploaded before the application is submitted. Once the application has been submitted, no documents can be added.

Letters uploaded to proposalCENTRAL should not be password protected or otherwise encrypted. Such encryption will cause errors in assembling a single-print PDF of the application. The applicant should inform the individuals writing letters to not include password protection on their documents.

*Note: Detailed Instructions on how to invite referees to submit the Letters of Support/Reference are also available in a downloadable document found in Section #2. Letters uploaded to proposalCENTRAL should not be password protected or otherwise encrypted. Such encryption will cause errors in assembling a single-print PDF of the application. The applicant should inform the individuals writing letters to not include password protection on their documents.*

7. **Abstracts/Relevance:** In the space provided online for abstracts, provide a statement of no more than 250 words (up to 2,000 characters max, including spaces) explaining the subject of the research proposal and its relationship to CF. Two different abstracts are required as follows:

- **Lay Abstract:** This statement will be used to inform the non-scientific departments of CFF and the general public of the nature of this work. Applicants should not include any confidential or proprietary information, including intellectual property, in the lay abstract.
- **Scientific Abstract:** This statement will be used to inform the scientific community.
- **Summary of Relevance to CFF mission:** Provide a statement of no more than 250 words (up to 2,000 characters max, including spaces) summarizing the relevance of the proposed research to the health and well-being of CF patients, for a scientific audience who may or may not have a background in the subspecialty of the proposed research. All applications are reviewed and scored not only on scientific merit but also on relevance to CFF’s mission:

  The mission of the Cystic Fibrosis Foundation is to cure cystic fibrosis and to provide all people with the disease the opportunity to lead full, productive lives by funding
research and drug development, promoting individualized treatment, and ensuring access to high-quality, specialized care.

8. **Budget Summary**: Fill in the start and end date and applicable amounts for the support requested by completing the online fields (Period 1, 2, 3). The total requested stipend (salary and benefits) must not exceed $100,000 per year. The total budget requested cannot exceed $130,000 per year. 

   **Note**: The Budget Detail and Budget Justification templates downloaded in Section #2 must also be completed for each year of support requested and uploaded in Section #10. The amounts included in this uploaded Budget Detail must match the amounts entered in the Budget Summary online.

9. **Organization Assurances**: Select the type of assurances that are applicable to the project and provide all required information (i.e. IRB, IACUC, and/or IBC/rDNA approval letter and status at the time of submitting the application). Refer to Section N. ORGANIZATION ASSURANCES & CERTIFICATIONS in these guidelines for details.

10. **Research Plan & Supporting Documents**: In this section, upload the completed templates downloaded in Section #2 above in PDF format. Fill out the fields describing the attachment, select the attachment type from the pulldown menu, choose the file to be uploaded, and click the “Upload Attachment” button to upload the file. Do this for each attachment.

   Below are instructions specific to each template as well as additional information regarding other application components.

A. **Biographical Sketches for Key Personnel (template available for download)**

   Complete and upload an NIH Biographical Sketch for all key project personnel, beginning with the Applicant/Principal Investigator (fellow) and the Mentor(s). (CFF defines “key project personnel” as any individual with an advanced degree that will play an instrumental role in the accomplishment of the research project.) Do not exceed five (5) pages per person.

B. **Mentor’s Results of Past and Current CFF/CFFT Support (template available for download)**

   Mentors are requested to identify the results of past and current CFF/CFFT support (e.g., subsequent funding from other sources, journal articles, and invited presentations) and the CFF/CFFT grant/award from which they resulted for the past three to five years. Please note that the following information must be included with each research project identified:

   - CFF/CFFT Account #
   - Principal Investigator (PI)
   - CFF/CFFT Project Title
   - Applicant’s Title on Project
   - Project Start/End Dates
   - Total CFF/CFFT Award Amount
   - Results of Support

C. **Other Support (template available for download)**

   Complete and upload an “Other Support” form for all key project personnel, beginning with the Applicant/Principal Investigator (fellow) and the Mentor(s). There is no page limitation.

D. **Facilities Available (template available for download)**

   Describe the facilities and equipment available at the applicant’s institution that will be used for this project, such as laboratory, clinical, animal, computer, office, etc. Provide any additional information about the environment, including any support services available that will be utilized.
Describe their pertinent capabilities, proximity and anticipated extent of use. If facilities or equipment at a consultant’s or collaborative site will be used, they should be identified and clearly described. There is no page limit. Use continuation pages, if necessary.

E. **Budget Detail and Budget Justification (templates available for download)**

Fill out the Budget Detail and Budget Justification templates for all years of support requested. In the space provided on the templates, indicate the year as well as start and end dates for the proposed budget period. (Be sure the amounts entered in the Budget Detail(s) match the amounts in the online budget summary in Section #8).

**Budget Detail – Direct Costs Only**

**Salary & Benefits** - List the names and positions of all professional and non-professional personnel involved in the project, whether or not salaries are requested. Indicate the percent effort on the project for professional personnel. For each individual, list dollar amounts separately for institutional base salary and fringe benefits. At least 70% of the applicant's time must be devoted to research. Total personnel costs (salary and benefits) requested through this program cannot exceed $100,000 per year. In accordance with National Institutes of Health (NIH) policy, salary requests may not use an institutional base salary in excess of the current federal salary cap of $192,300. Fringe benefits may be requested if they are treated consistently by the applicant institution as a direct cost to all funding agencies and foundations.

**Consultant Costs** – Give the name and institutional affiliation of any consultant who has agreed to serve in this capacity, including statisticians and physicians in connection with the project if they are not listed under personnel. In the budget justification, briefly describe services to be performed, the number of days, rate of compensation, per diem and any other associated costs.

**Travel** - Describe the purpose of any CF-relevant travel. Please note: expenses for travel outside the North American continent, including travel to Hawaii, Puerto Rico, and other U.S. territories are not allowable expenses without prior written approval from the CFF Grants & Contracts Office. Travel expenses are limited to the applicant and may not exceed $1,500 per year. Registration fees associated with conferences should be listed under “Other Expenses.”

**Patient Research Costs** – Funds may be requested for patient research costs specifically related to the proposed research. The basis for estimating funds requested in this category must be justified and applicants must provide detailed information regarding the proposed costs (e.g., number of procedures, cost per procedure, ancillary costs). The scientific need for patient research costs will be considered in the review. Negotiation of these costs are between the applicant institution and the service provider.

If approved as part of the application, patient research costs are capped at the amount requested in the budget and under no circumstances is CFF responsible for any costs that are later determined non-covered by third party insurers. Applicants and applicant institutions acknowledge that CFF is solely a provider of funding for the research performed under an approved award and not a sponsor of the research as defined by the FDA (21 CFR §312.3(b)).

**Consumable Supplies** - Itemize supplies e.g. glassware, chemicals, animals, in separate categories and give the estimated cost of each category. If animals are involved, state the number, unit purchase cost, and unit care cost.
Other Expenses - Itemize other expenses by major categories, such as duplication costs, tuition costs (not to exceed $3,000), publication costs, minor equipment items under $5,000, conference registration fees, etc.

Budget Detail – Indirect Costs
Indirect costs are not allowable.

Budget Justification
Describe costs listed in the Budget Detail. Use major categories, such as Personnel, Supplies, etc. Justify all items.

F. Research Plan (template available for download)
- Key figures and legends must be included in the Research Plan and should be of sufficient quality and size to be evaluated by the reviewer. If uploaded as Appendices, they will NOT be reviewed.
- At the top of each page, type the Principal Investigator's name. Each page must be sequentially numbered at the bottom.
- Page limit: Ten (10) single-sided pages, not including the Literature Cited. Applications exceeding this page limit will not be reviewed. A template is available for download on proposalCENTRAL. Include sufficient information to permit effective review without reference to previous applications. Information should be presented in a clear and concise manner, while being specific and informative.

If your application is a resubmission of an earlier one, your revisions should be clearly indicated by a change in font, bolded or underlined. CFF will not review resubmissions that have not been revised.

Note: If applicants plan to conduct clinical research during their fellowship training, special attention should be given to Section ‘d’ of the Research Plan (Experimental Design and Methods for Clinical Research only) and, for studies that place human subjects at more than minimal risk, to the completion of the Data Safety Monitoring Plan (DSMP).

a. Hypothesis and Specific Aims: State concisely and realistically the intent of the proposed research and the hypothesis to be tested. The specific aims should be relevant to the mission of the Cystic Fibrosis Foundation. Do not exceed one page.

b. Background and Significance: Briefly describe the background. Critically evaluate existing knowledge and specifically identify the gaps that the project is intended to fill. Concisely state the importance and rationale of this research by relating the specific aims to longer-term objectives. This section should also show the potential importance of the proposed work to CF, in particular in those areas listed as areas of special interest to CFF. In addition, describe the relationship of the proposed work to your long-term career goals. Preference will be given to applicants who express an interest in a long-term career in CF-related research.

c. Preliminary Results: If applicable, provide a detailed discussion of any preliminary results.

d. Experimental Design and Methods:
For applicants proposing to carry out Basic Research through this support mechanism: Provide a detailed discussion of the experimental design and methods to be used to accomplish the specific aims. Describe the protocols, including methods for new techniques, and explain potential advantages over existing methodologies. Discuss the data expected to be obtained and the means by which data will be analyzed and interpreted. If human subjects are involved, provide details of the methods for patient selection and care. Discuss potential
difficulties and/or limitations of the proposed procedures and alternative approaches to achieve aims. Point out any procedures, situations or materials that may be hazardous to personnel or patients and the precautions to be exercised.

For applicants proposing to carry out Clinical Research through this support mechanism: Provide a detailed discussion of the experimental design and procedures to be used to accomplish specific aims. Please discuss: study hypothesis; primary and secondary outcome measures; study sample-inclusion and exclusion criteria; sample size estimates*; subject enrollment including age range; puberty status; gender distribution; randomization scheme if applicable; description of experimental procedures and schedule including a study time-line; drugs and dosage; measures of compliance; follow-up schedule including a study time-line for full project up to three years; efficacy and safety evaluation, data monitoring and quality control; and a description of your proposed data analysis and statistical procedures for your hypothesis testing. Although no page limit is specified for this section, make every attempt to be concise and succinct.

*For sample size estimates, please provide all estimates of means, standard deviations, rates or proportions used to calculate each of your sample size or power estimates. Please include in the statistical section whether you will use a one or two-tailed test, the power selected for such a test (if making a sample size calculation), and the reference for your sample size or power calculation. In instances of pilot studies where some of these parameters are unknown, we will accept your best estimates of the unknown parameters if preliminary data are not available, and if your calculation is a preliminary estimate before formal sample size can be calculated for a larger study. Please identify if you are making estimates from data or from personal estimates. This section must document access to adequate numbers of subjects.

Discuss the potential difficulties and limitations of the proposed procedures and alternative strategies for achieving the aims. If the Mentor(s) is not a CF Center Director or Co-Director, a letter of support from the Center Director is required.

e. Literature Cited: References should be numbered in the sequence that they appear in the text and listed at the end of the Research Plan. Each citation must include the names of authors, the name of the journal or book, volume number, page number and year of publication (titles are optional).

G. Data Safety Monitoring Plan (template available for download, if applicable)

*For clinical research projects only

In compliance with Federal regulations, applicants whose proposed study places human subjects at more than minimal risk must submit a general description of the Data Safety Monitoring Plan (DSMP). A DSMP helps to ensure subject safety, as well the validity and integrity of the data. Furthermore, a DSMP allows for the monitoring of study data to assess whether or not an early termination is necessary for safety or efficacy reasons.

The extent of monitoring required for a study is dependent on the level of risk involved for the subjects, as well as the size and complexity of the study. Large, multi-center CFF-funded interventional clinical trials must utilize a Data Safety and Monitoring Board (DSMB). In addition, CFF may require that investigators utilize the CFF DSMB for any other interventional CF clinical trial that meets one or more of the following criteria:

- Multi-center;
- Randomized;
- Conducted in an emergency setting;
• Use high-risk interventions, such as gene therapy or gene transfer; or
• Include particularly vulnerable study populations, such as pediatric patients.

*Note: On the available template, please check whether a DSMP is required and upload the template regardless of the response.*

**Address the following areas in the DSMP:**

**Assessment of Risk** – Describe the level of risk the proposed research presents to subject participants and provide a detailed justification for the level of risk. Discuss who will monitor the study.

**Level of Risk**
- **Minimal Risk**
  - Study poses no more risk than expected in daily life (blood draw, physical exam, etc.)
  - Observational studies
  - Survey or questionnaire studies
- **Low Risk**
  - Post-marketing study Phase IV drug or device, as defined by FDA
- **Moderate Risk**
  - Substantial risk (>5%) of a Serious Adverse Event (SAE) originating from the underlying condition of the enrolled subject
  - Phase I or II study with available safety data in humans
- **High Risk**
  - Involves an intervention or invasive procedure with substantial risk
  - Involves the use of a new chemical or drug for which there is little or no toxicology data in humans
  - A gene therapy study or research involving recombinant DNA molecules (gene transfer)
  - Involves vulnerable populations (pediatric, pregnant, etc.)

**Anticipated Adverse Events and Grading Scale** – Describe anticipated adverse events (AEs), including expected frequency and the grading scale to be used. Discuss plans for addressing AEs.

**Reporting of AEs** – Detail the plan for reporting AEs, including who shall be notified in the event an AE should occur.

**Safety Monitoring Plan** – Describe all tests, evaluations, and exclusion criteria that will be implemented to ensure and monitor the safety of human subjects. Discuss plans for stopping the study if necessary.

**Safety Reviews** – Describe the process for monitoring and reviewing subject safety data, including the frequency of such reviews. Include details as to who will perform the monitoring and plans for reporting. If this information is not available at the time of submission of the application, note that CFF will not release awarded payments until it is provided.

**Registrations for Investigator-Initiated Clinical Trials:**
- **Clinicaltrials.gov (United States)**: Applicants are required to register all non-exempt human subject studies in the ClinicalTrials.gov database to ensure information is freely available on CFF-funded trials within the community. The registration should be no later than twenty-one (21) days after the first subject is enrolled. CFF requires copies of documentation confirming this registration, when applicable.
H. **CFF Patient Registry Data Request (if applicable)**
Researchers who wish to request Registry data for their proposed research study must complete and submit the “Application for CFFPR Data and Confidentiality Agreement” application to datarequests@cff.org prior to submitting their full application to CFF. The formal application for CFF Patient Registry Data Requests can be found at https://www.cff.org/Research/Researcher-Resources/Tools-and-Resources/Patient-Registry-Data-Requests/

*Note: The application must be submitted using the online system available from the link above and the email from the system indicating receipt of the application must be uploaded to the submission. Funding is contingent upon approval to access registry data.*

I. **CFF Biorepository Clinical Specimen Confirmation Letter (if applicable)**
Since 2006, the Cystic Fibrosis Foundation has collected and stored samples from a variety of clinical trials. The CF Foundation has developed a database that combines information from these samples with data from CF clinical trials and the CF Foundation Patient Registry to create a unique and specific sample profile. To request clinical samples to use in the proposed study, download and complete the template from https://www.cff.org/Research/Researcher-Resources/Tools-and-Resources/CFFT-Biorepository/. Applicants must supply a letter from the clinical research program manager confirming samples are available for their use with their LOI submission. For more information, contact Linh Do, CF Foundation clinical research program manager, at ldo@cff.org or 301-841-2648.

*Note: Applicants must upload the confirmation letter provided by the CFF Clinical Research Program Manager to the application. Funding is contingent upon approval and availability to access clinical specimens.*

J. **Training Plan (template available for download)**
The applicant, in conjunction with the Mentor(s), should provide a brief summary of the applicant’s previous research and/or clinical fellowship training, including the reasons for entering the fields related to CF research and care. In addition, the applicant and Mentor(s) should develop a training plan that outlines skills and techniques that will be learned during this fellowship period as well as CF-specific training that will be available to the applicant, including participation in supplemental course work and special seminars. Further, this section should clearly indicate plans for introducing the applicant to CF research. This should include training on study planning and design, statistical methods, data management, etc. This should also include a description of the applicants anticipated CF research. This plan should address the applicant’s long-term career goals and include training and professional development activities that will facilitate the applicant’s transition to the next phase of their career. Do not exceed five (5) pages.

K. **Critique Response (template available for download)**
If the application is a resubmission, please provide a point-by-point response to the prior reviews. There is no page limit to your responses, but please be concise and succinct.
L. Names and Addresses of References (template available for download)
List the names, titles, and contact information of the individuals who have been asked to submit Letters of Support/Reference on the applicant’s behalf via Section #6 in proposalCENTRAL. A PDF copy of the completed form should be uploaded.

M. Verification of Applicant Institution’s Tax Status (upload as PDF documents)
The CFF Grants and Contracts Office must have a copy of the applicant institution’s current W-9 and 501(c)3 letter, or other documentation verifying its Federal tax status and will not issue Award Letters to Awardees if these documents are not received and on file.
- Applicants from for-profit organizations must submit a copy of the applicant institution’s W-9 and IRS documentation verifying the organization’s Federal tax status. Awards are not issued prior to having these documents on file with the CFF Grants and Contracts Office.

N. Organization Assurances & Certifications (if applicable and available, upload as PDF document under Appendices)
CFF requires, as applicable, that all U.S.-based awardees obtain Institutional Review Board (IRB) approvals for human subject research, Institutional Animal Care and Use Committee (IACUC) approval for animal research, and Institutional Biosafety Committee (IBC) approval for recombinant DNA research (see additional information regarding these approvals below). Copies of these approvals, if available at the time the application is submitted, must be uploaded with the application as appendices. CFF will not release payments to awardee institutions until these documents are received and on file with the CFF Grants and Contracts Office.

Awardees based outside of the U.S. must comply with the applicable equivalent regulations in their respective countries and provide copies of approvals as soon as they are available. CFF will not release payments until these documents are received and on file with the CFF Grants and Contracts Office.

Research Involving Human Subjects: CFF policy pertaining to the protection of individuals as research subjects requires that for each proposal awarded, the awardee institution certify in writing that an Institutional Review Board (IRB) has reviewed and approved the procedures for the use of human subjects, or human organs, tissues and body fluids, in the proposed research, in accordance with the Department of Health and Human Services policies found at https://www.hhs.gov/ohrp/regulations-and-policy/index.html. In the event the IRB has determined a study is exempt, documentation demonstrating the exempt status must also be submitted to the CFF Grants and Contracts Office.

Research Involving Recombinant or Synthetic Nucleic Acid Molecules: All research involving recombinant or synthetic nucleic acid and human gene transfer studies supported by CFF must meet the requirements contained in the document NIH Guidelines for Research Involving Recombinant or Synthetic Nucleic Acid Molecules (updated April 2019). This publication and announcements of modifications and changes to the NIH Guidelines are available from the Office of Science and Policy, National Institutes of Health, 6705 Rockledge Drive, Ste 750, MSC 7985, Bethesda, MD, 20892-7985 or online at https://osp.od.nih.gov/wp-content/uploads/NIH_Guidelines.pdf.

Research Involving Animals: Applications submitted to CFF involving the use of animals must meet the guidelines of the National Institutes of Health found at https://grants.nih.gov/grants/olaw/olaw.htm, which require that all proposed studies be reviewed and approved by an Institutional Animal Care and Use Committee (IACUC). In addition, CFF
awardee institutions and laboratories must be accredited by the American Association for Accreditation of Laboratory Animal Care (AAALAC) and/or have other verifiable assurances that the institution and laboratory meet appropriate standards.

O. Appendices (upload materials as PDF documents, if applicable)
Appendices are restricted to the following two (2) categories:
- Certification of organization assurances (i.e. IRB, IACUC and IBC approvals), if applicable.
- Up to three (3) reprints of the applicant’s work relating to the general area of research in the proposal may be uploaded in PDF format.

11. PI Data Sheet: Fill in the required fields, save and exit.

12. Print Face Pages: Follow the prompts on the screen to generate and print a Face Page. The Face Page will be populated automatically with data entered in the online application (applicant’s name, institution, title of application, etc.). The Face Page must be signed by the Principal Investigator and Authorized Institutional Official and uploaded in Section #7. Co-Principal Investigators, if any, are not expected to sign the Face Page.

13. Validate: Upon completing the application, click on the “Validate” button on the main screen. Attend to any omissions/errors as prompted onscreen, and then click “Validate” again.

14. Submit: Click on the gray button with blue lettering. CFF will not receive your application unless the “Submit” button is clicked.

15. Confirmation: Applicants will receive an e-mail confirmation from proposalCENTRAL (not from CFF) that the Application was successfully submitted. This e-mail will be your only acknowledgement. If you do not receive this confirmation, please contact proposalCENTRAL immediately to ensure that your submission was submitted and processed.

XI. Other Information
Not applicable to this RFA

XII. Contact Information

For technical support with the online application:
proposalCENTRAL at pcsupport@altum.com or 800-875-2562 on weekdays, 8:00 a.m. to 5:00 p.m. (Eastern)

For program/content information:
CFF Grants and Contracts at grants@cff.org or 301-841-2614
XIII. Electronic Application Checklist

Application Deadline: Friday, February 14, 2020 at 5:00 PM (Eastern)

Submit online through proposalCENTRAL: https://proposalcentral.com/

Face Page which includes:
☐ Signatures
  ☐ Applicant
  ☐ The Official authorized to sign on behalf of the Applicant Institution
☐ Applicant information (online)
☐ Complete Institution and Applicant Contact Information, including correct mailing address (online)
☐ Organization Assurances (check those that apply online/complete the required information)
  ☐ Human Subjects Certification
  ☐ Research Involving Recombinant or Synthetic Nucleic Acid Molecules information
  ☐ Research Involving Animals Information

Research Plan & Supporting Documents:
☐ Biographical Sketches for Key Personnel - (upload)
☐ Mentor’s Results of Past and Current CFF/CFFT Support - (upload)
☐ Other Support (NIH Format) - (upload)
☐ Facilities Available - (upload, if applicable)
☐ Budget Detail - (upload)
☐ Budget Justification - (upload)
☐ Research Plan - (upload, if applicable)
  ☐ Hypothesis and Specific Aims
  ☐ Background and Significance
  ☐ Preliminary Results
  ☐ Experimental Design and Methods
  ☐ Literature Cited (not included in Research Plan page limitation)
☐ Data Safety Monitoring Plan
☐ Mentor’s List of Previous Fellows - (upload)
☐ Training Plan - (upload)
☐ Critique Response – (upload)
☐ Names and Addresses of References - (upload)
☐ Verification of Applicant Institution’s Tax Status - (upload)
  ☐ W-9
  ☐ Federal (IRS) tax status letter
☐ Appendices (upload, if applicable)
  ☐ Certification of organization assurances (i.e. IRB, IACUC and IBC approvals), if applicable.
  ☐ Up to three (3) reprints of the applicant’s work relating to the general area of research in the proposal